



**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Attorney Docket No: Q76374

Baltes GASS

PCT/EP02/00292

1.53(b) Continuation Appln. No.: 10/614,824

Group Art Unit: 3726

Confirmation No.: 8136

Examiner: Not yet assigned

Filed: July 9, 2003

For: MACHINING SYSTEM AND TOOL UNIT FOR MACHINING WORKPIECES

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of European Search Report in a

INFORMATION DISCLOSURE STATEMENT  
1.53(b) Continuation U.S. Appln. No.: 10/614,824  
Attorney Docket Q76374  
May 7, 2004

counterpart application citing such documents, together with an English-language version (if not already included) of at least that portion of the Search Report indicating the degree of relevance found by the foreign patent office.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

An English Abstract for reference DE 36 27 706 C2 is attached herewith on a separate sheet.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: May 7, 2004

Substitute for Form 1449 A &amp; B/PTO

Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

MAY 07 2004

PATENT &amp; TRADEMARK OFFICE

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First Named Inventor	Baltes GASS
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Sheet

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of

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 4,453,303	A	06/12/1984	Leddeet Philippe
		US 5,207,309	A	05/04/1993	Jones, et al.
		US 5,321,619	A	06/14/1994	Matsuda
		US 5,857,814	A	01/12/1999	Jang (English-language Equivalent of DE 196 44 694)
		US 2002/0038159	A1	03/28/2002	Gass (English-language Equivalent of DE 198 43 162)

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	0 825 502	A	02/25/1998	DUERR Systems GmbH	
		DE	40 21 330	A	01/16/1992	Mannesmann AG	
		DE	196 44 694	A1	05/07/1997	Jang	English-language equivalent listed above
		DE	36 27 706	C2	03/17/1988	Audi NSU Auto Union AG	English Abstract
		DE	198 43 162	A1	04/13/2000	Alfing Montagetechnik GmbH	English-language equivalent listed above

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		O. V. Dugern, et al.: "Eine Modulare Grundsteuerung Fur Flexible Montagezellen" Automatisierungstechnische Praxis - ATP, Oldenbourg Verlag, Munchen, DE, Vol. 32, NR. 1, Page(s) 22-29, XP000126014, ISSN : 0178-2320	

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.